

**VIETNAM JOINT STOCK COMMERCIAL
BANK FOR INDUSTRY AND TRADE**

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time on 01st November 2018

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, 23rd November 2020

MINUTES
SHAREHOLDERS VOTING RESULT
VIETNAM JOINT STOCK COMMERCIAL BANK FOR INDUSTRY AND TRADE

- Pursuant to the Law on Credit Institutions No.47/2010/QH12 dated 16/6/2010; the Law on Amendment and Supplement of several articles of the Law on Credit Institutions No. 17/2017/QH14 dated 20/11/2017;
- Pursuant to the Law on Enterprises No.68/2014/QH13 dated 26/11/2014;
- Pursuant to the Law on Securities No. 70/2006/QH11 dated 29/6/2006; the Law on Amendment and Supplement of several articles of the Law on Securities No. 62/2010/QH12 dated 24/11/2010;
- Pursuant to the Charter on Organization and Operation of Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank);
- Pursuant to Resolution of the Board of Directors No. 385/NQ-HDQT-NHCT44 dated 16/10/2020 on Organization of collecting shareholders' written opinions regarding the Plan to increase Charter Capital by issuing shares to pay dividends from profits after tax and funds appropriation of 2017, 2018, 2019 of VietinBank;
- Pursuant to Decision No. 706 /QD-HDQT-NHCT1 dated 19/11/2020 by the Board of Director on the establishment of assisting team for shareholders vote checking on the Plan to increase Charter Capital by issuing shares to pay dividends from profits after tax and funds appropriation of 2017, 2018, 2019 of VietinBank;

Today, at 17:00 PM on 23rd November 2020, at VietinBank Head Office, 108 Tran Hung Dao Street, Hoan Kiem District, Hanoi, VietinBank's Board of Directors, with the witness of VietinBank's Supervisory Board has conducted vote checking of shareholders' opinion on the Plan to increase Charter Capital by issuing shares to pay dividends from profits after tax and funds appropriation of 2017 & 2018, and remaining profit after tax, funds appropriation and cash dividends of 2019 with the following contents:

I. VOTE CHECKING PARTICIPANTS

- Mr. Le Duc Tho : Chairman of the Board of Directors;
- Mr. Tran Minh Binh : Member of the Board of Directors cum CEO;
- Mr. Tran Van Tan : Member of the Board of Directors;
- Mrs. Tran Thu Huyen : Member of the Board of Directors;
- Mr. Nguyen The Huan : Member of the Board of Directors;
- Mrs. Pham Thi Thanh Hoai : Member of the Board of Directors;



- Mrs. Nguyen Thi Bac : Independent Member of the Board of Directors;
- Ms. Masahiko Oki : Member of the Board of Directors cum Deputy CEO.

(Mr. Shiro Honjo - Member of the Board of Directors did not attend the votes checking but has authorized to Mr. Masahiko Oki - Member of the Board of Directors cum Deputy CEO)

with the witness of:

- Mrs. Le Anh Ha : Head of the Supervisory Board;
- Mr. Nguyen Manh Toan : Member of the Supervisory Board;
- Mrs. Nguyen Thi Anh Thu : Member of the Supervisory Board;

II. CONTENTS OF OBTAINING SHAREHOLDERS' OPINIONS

Collect shareholder's written opinions on Plan to increase Charter Capital by issuing shares to pay dividends from profits after tax and funds appropriation of 2017 & 2018, and remaining profit after tax, funds appropriation and cash dividends of 2019¹ and authorize VietinBank's Board of Directors to decide specific figures, ratios and other matters related to the increase of Charter Capital in accordance with decisions of the State competent authorities and the regulations of Law.

III. VOTE CHECKING

1. Vote checking method:

- Valid voting paper is voting paper that only marks "X" in 01 box.
- Invalid voting paper is voting paper in the following cases: (i) marks "X" in 02 or more boxes, or when all 03 boxes are not marked "X"; (ii) no signatures for individual shareholders, no signature of authorized representative or legal representative for organizational shareholders; (iii) sent to the announced address but after the time limit specified by VietinBank; (iv) has been opened if sending by post and disclosed if sending by email.
- Unparticipated voting paper is voting paper that is not sent back to VietinBank.
- The members of the Board of Directors, member of the Supervisory Board are jointly responsible for the truthfulness and accuracy of the minutes of the vote checking. They will also be jointly liable for damages arising from decisions approved by the vote checking team which is not honest and accurate.

2. Vote checking procedure:

¹ Plan to increase Charter Capital by issuing shares to pay dividends from profits after tax and funds appropriation of 2017 & 2018, and remaining profit after tax, funds appropriation and cash dividends of 2019 that VietinBank is submitting to the State competent authorities is the contents as presented in the attached Proposal on Charter Capital Increase. VietinBank will do according to the approval of the State competent authorities. The General Meeting of Shareholders authorizes VietinBank's Board of Directors to decide the plan on capital increase according to the content approved by the State competent authorities for VietinBank to implement.

- The record date for the shareholders' list: **05th November 2020**
- Total number of shareholders as at the record date: 33,482 shareholders
- Percentage of distribution: 1 share is equivalent to 1 voting right.
- The total number of voting shares: 3,723,404,556 shares, which corresponds to 3,723,404,556 voting rights.
- Total votes sent out: 33,482 votes which corresponds to 3,723,404,556 voting rights.
- Total votes collected: 515 votes, which corresponds to 3,411,420,038 voting rights.
- The number of invalid votes: 44 votes which corresponds to 237,083 voting rights.
- The number of unparticipated votes: 32,967 votes which corresponds to 311,984,518 voting rights.

3. Vote checking results:

Content	Number of votes	Number of voting shares	Percentage
Approval	462	3,411,023,682	91.61034292%
Disapproval	1	2,000	0.00005371%
Abstain	8	157,273	0.00422390%
Invalid votes	44	237.083	0.00636737%
Unparticipated votes	32.967	311,984,518	8.37901210%

Thus, the total number of approved votes is 462 votes, which corresponds to 3,411,420,038 shares, accounting for 91.61034292% of the shares with voting rights.

IV. THE DECISION APPROVED BY THE GENERAL MEETING OF SHAREHOLDERS

The Minute is constituted at 18:15 PM on 23rd November 2020 immediately after the vote checking for shareholders's writing opinions ended.

With the percentage of approval votes is 91.61034292%, the General Meeting of Shareholders of VietinBank has approve Plan to increase Charter Capital by issuing shares to pay dividends from profits after tax and funds appropriation of 2017 & 2018, and remaining profit after tax, funds appropriation and cash dividends of 2019 and authorize VietinBank's Board of Directors to decide specific figures, ratios and other matters related to the increase of Charter Capital in accordance with decisions of the State competent authorities and the regulations of Law.

The above decision was adopted by shareholder's written opinion form, which has the equivalent value as decisions adopted at the General Meeting of Shareholders.

CHAIRMAN OF THE BOARD OF DIRECTORS



[Signature]
Le Duc Tho

**MEMBER OF
THE BOARD OF DIRECTORS CUM CEO**

[Signature]

**Tran Minh Binh
MEMBER OF**

THE BOARD OF DIRECTORS

**MEMBER OF
THE BOARD OF DIRECTORS**

[Signature]

**Tran Van Tan
MEMBER OF**

THE BOARD OF DIRECTORS

[Signature]

**Tran Thu Huyen
MEMBER OF**

THE BOARD OF DIRECTORS

[Signature]

**Nguyen The Huan
INDEPENDENT MEMBER
OF THE BOARD OF DIRECTORS**

[Signature]

**Pham Thi Thanh Hoai
MEMBER OF
THE BOARD OF DIRECTORS CUM
DEPUTY CFO**

[Signature]

**Nguyen Thi Bac
ON BEHALF OF MEMBER OF THE
BOARD OF DIRECTORS SHIRO HONJO**

大木正彦

Masahiko Oki

大木正彦

Masahiko Oki

[Handwritten mark]

HEAD OF THE SUPERVISORY BOARD



Le Anh Ha

**MEMBER OF
THE SUPERVISORY BOARD**



Nguyen Manh Toan

**MEMBER OF
THE SUPERVISORY BOARD**



Nguyen Thi Anh Thu

